

**Senniger, Powers, Leavitt & Roedel**

Attorneys at Law

Patents, Trademarks, Copyrights,  
Technology Law, Arbitration, LitigationOne Metropolitan Square, 16th Floor  
Saint Louis, Missouri 63102Telephone: 314-231-5400  
Facsimile: 314-231-4342

www.senniger.com

Frank R. Agovino

March 7, 2003

Application of David C. Carrithers et al.      Art Unit 3622  
Serial No. 09/399,597  
Filed September 20, 1999  
Confirmation No. 2447  
For DEBIT CARD SYSTEM AND METHOD FOR IMPLEMENTING INCENTIVE  
    AWARD PROGRAM  
Examiner M. Tesfamariam  
Attorney Docket MRZ 8980

Office of Initial Patent Examination's  
    Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We have received a Corrected Filing Receipt in the above-referenced U.S. patent application.

The "Assignment for Published Patent Application" is shown as "Frank R. Agovino" whereas the correct information is "Maritz Inc."

\* The Domestic Priority data as claimed by applicant is  
\* missing. In accordance with the application transmittal  
letter, copy enclosed, and Preliminary Amendment A, filed  
\* December 7, 2000, copy enclosed, the priority data should read as  
follows: "This application is a continuation of Serial No.  
08/969,093, filed 11/12/97, which is a continuation of Serial No.  
08/620,041, filed 3/21/96, which is a continuation-in-part of  
Serial No. 08/408,690, filed 3/21/95.

\* A copy of the Filing Receipt with the changes noted thereon  
is enclosed. Please send us a corrected filing receipt.

\* Applicants' attorney notes that he is unable to access the  
record for this application via PAIR as evidenced by the attached  
printout. Applicants request that this problem be resolved.

Respectfully submitted,



Frank R. Agovino, Reg. No. 27,416

FRACwa

**SENNIGER, POWERS, LEAVITT & ROEDEL**  
ATTORNEYS AT LAW

FRANK R. AGOVINO  
DERICK E. ALLEN  
ROBERT M. BAIN  
C. HARLEY BLOSSER  
RICHARD L. BRIDGE  
JAMES E. BUTLER, PH.D.  
SARAH J. CHICKOS  
J. BENNETT CLARK  
CHARLES E. COHEN, PH.D.  
DAVID E. CRAWFORD, JR.  
MATTHEW L. CUTLER  
PATRICK S. EAGLEMAN  
ROBERT M. EVANS, JR.  
PAUL J. FLEISCHUT  
MICHAEL E. GODAR  
CHRISTOPHER M. COFF  
DAVID M. CRYTE  
EDWARD J. HEJLEK

KAREN V. HUI  
KURT F. JAMES  
VINCENT M. KEIL  
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WILLIAM E. LAHEY  
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DEBRA D. NYE  
KATHLEEN M. PETRILLO  
LAURA E. POLCYN  
STEVEN W. RITCHIE  
JOHN K. ROEDEL, JR.  
JOSEPH A. SCHAFER  
WEC MARSHALL THOMAS  
DONALD W. TUSCUL  
SCOTT A. WILLIAMS

ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MISSOURI 63102  
314-231-5400

September 20, 1999

FACSIMILE 314-231-4342  
<http://www.senniger.com>

PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS

OF COUNSEL  
IRVING POWERS  
RICHARD C. HEYWOOD  
ROBERT J. LEWIS

STUART N. SENNIGER  
(1931-1977)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: MRZ 8980  
First Named Inventor: David C. Carrithers et al.  
Express Mail Label Number: EL302953100US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☒ Specification [Total Pages 41]
3. ☒ Drawings [Total Sheets 7]
4. Oath or Declaration [Total Pages 5]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☒ Copy from a prior application  
(for continuation/divisional with  
Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.
5. ☒ Incorporation By Reference  
(useable if Box 4b is marked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfilm Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
16. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOX AND SUPPLY THE REQUISITE INFORMATION**

17. ☒ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: 08/969,093, filed November 12, 1997, which is a continuation of 08/620,041, filed March 21, 1996, which is a continuation-in-part of 08/408,690, filed March 21, 1995.
- ☐ Complete Application  
based on provisional Application No. \_\_\_\_\_

**CORRESPONDENCE ADDRESS**

18. Correspondence Address: Customer Number 000321  
Attention: Frank R. Agovino

Respectfully submitted,

*Frank R. Agovino*

Frank R. Agovino, Reg. No. 27,416

FRACwa

5C  
7-2003 FRI 04:01 PM SENNIGER POWERS

FAX NO. 3142314342

CPA  
P. 01/09

Law Offices of  
SENNIGER, POWERS, LEAVITT AND ROEDEL

One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102

Telephone (314) 231-5400  
Facsimile (314) 231-4342

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FACSIMILE TRANSMITTAL COVER SHEET

DATE: 3-7-03 ATTORNEY DOCKET NUMBER: MRZ 8980  
PTO FACSIMILE NUMBER: (703) 746-9195

PLEASE DELIVER THIS FACSIMILE TO: Office of Initial Patent Exam  
THIS FACSIMILE IS BEING SENT BY: Frank R. Agovino  
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TIME SENT: 3:55pm OPERATOR'S NAME Cindy

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to  
the Patent and Trademark Office on the date shown below.

Cindy A. Ard  
Typed or printed name of person signing certification

Cindy A. Ard 3-7-03  
Signature Date

Type of paper transmitted: Request for Corrected Filing Receipt

Applicant's Name: David C. Carrithers et al.

Serial No. (Control No.): 09/399,597 Examiner: Tesfamariam

Filing Date: September 20, 1999 Art Unit: 3622

Application Title: DEBIT CARD SYSTEM AND METHOD FOR IMPLMENETING  
INCENTIVE AWARD PGOGRAM

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POSSIBLE. CONFIRMING NUMBER IS (314) 231-5400.

MRZ 8980  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of David C. Carrithers et al.      Art Unit 2761  
Serial No. 09/399,597  
Filed September 20, 1999  
For DEBIT CARD SYSTEM AND METHOD FOR IMPLEMENTING INCENTIVE  
AWARD PROGRAM

December 7, 2000

PRELIMINARY AMENDMENT A

TO THE ASSISTANT COMMISSIONER FOR PATENTS,

SIR:

Prior to examination of the above-referenced application,  
please enter the following amendment:

IN THE SPECIFICATION:

After the title on page 1, please add the following:

--Cross Reference to Related Applications

This application is a continuation of prior application  
Serial No. 08/969,093, filed November 12, 1997, which is a  
continuation of 08/620,041, filed March 21, 1996, which is a  
continuation-in-part of U.S. Serial No. 08/408,690, filed March  
21, 1995.--

REMARKS

Applicant notes that it has been 14 months since the filing  
of this application on September 20, 1999. Please advise the  
undersigned of the status of this application.

MRZ 8980  
PATENT

Respectfully submitted,

*Frank R Agovino*

Frank R. Agovino, Reg. No. 27,416  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

CERTIFICATE OF MAILING

I certify that the foregoing Preliminary Amendment A, in the application of David C. Carrithers et al., Serial No. 09/399,597, filed September 20, 1999, is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 7<sup>th</sup> day of December, 2000.

*Cindy A. Ard*

Cindy A. Ard

FRACaa

\* RECEIVED FEB 3 2003

UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

FRA

Commissioner for Patents  
Washington, DC 20543  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/399,597 /	09/20/1999	3622 /	1858	MRZ-8980 /	7 /	28	8

CONFIRMATION NO. 2447

SENNIGER POWERS LEAVITT & ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST. LOUIS, MO 63102

CORRECTED FILING RECEIPT



\*OC000000009434036\*

Date Mailed: 01/28/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

DAVID C. CARRITHERS, ST. LOUIS COUNTY, MO; ✓  
STEVEN G. RAPP, ST. LOUIS COUNTY, MO; ✓  
GAYLA L. STONE, ST. LOUIS COUNTY, MO; ✓  
JODY A. STOREY-WALLER, ST. LOUIS COUNTY, MO; ✓  
KIM A. RESCH, ST. LOUIS COUNTY, MO; ✓  
KELLY K. MCGUIRE, ST. LOUIS COUNTY, MO; ✓  
TONI L. ASHBY, ST. LOUIS COUNTY, MO; ✓  
MARK JACKSON, ST. LOUIS COUNTY, MO; ✓  
LOWELL HUFF, MANCHESTER, MO; ✓

## Assignment For Published Patent Application

— Frank R. Agovino; — MARITZ INC

Domestic Priority data as claimed by applicant

*This application is a continuation of 08/969,093 filed 11/12/97,  
Foreign Applications which is a continuation of 08/620,041 filed 3/21/96,  
which is a continuation-in-part  
of 08/408,690 filed 3/21/95.*

If Required, Foreign Filing License Granted: 10/06/1999

CPA filed on: 08/21/2002 /

Projected Publication Date: 05/08/2003

Non-Publication Request: No

Bm

**Early Publication Request: No**

**Title**

DEBIT CARD SYSTEM AND METHOD FOR IMPLEMENTING INCENTIVE AWARD PROGRAM ✓

**Preliminary Class**

705

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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